

Pursuant to Article 219 of the Banking Law of Republika Srpska ("Official Gazette of Republika Srpska", No: 4/17), Article 5, Paragraph 1, Item b, Article 20, Paragraph 2, Item b and Article 37 of the Law on the Banking Agency of Republika Srpska ("Official Gazette of Republika Srpska", No: 59/13 and 4/17), and Article 6, Paragraph 1, Item b and Article 19, Paragraph 1, Item b of the Statute of the Banking Agency of Republika Srpska ("Official Gazette of Republika Srpska", No.: 63/17), the Management Board of the Banking Agency of Republika Srpska, at its session held on 19 September, 2017, adopted the

**DECISION**  
**ON REQUIREMENTS FOR**  
**CONSOLIDATED BANKING GROUP**

**General provisions**

**Article 1**

- (1) This Decision stipulates detailed conditions and the method of consolidation of a banking group in Republika Srpska, more detailed conditions and the method of managing risks at the level of that group, the competencies of the supervisory board, as well as the method of reporting to the Banking Agency of Republika Srpska (hereinafter: Agency).
- (2) The parent bank within the banking group, and the bank which is under control of the holding or the parent company within the banking group, to which the Agency issued an operating license, shall apply the provisions of this Decision, the provisions of the Banking Law of Republika Srpska relevant to the consolidation, the provisions of the Law on Accounting and Auditing, as well as other bylaws, and international accounting regulations relevant to the consolidation.
- (3) This Decision shall govern the requirements that the parent bank and the bank which is under control of the holding or the parent company within the banking group shall apply on consolidated basis in compliance with the Banking Law of Republika Srpska for, and relating to the following:
  - a) capital adequacy indicators,
  - b) large exposures,
  - c) exposures to related persons,
  - d) investments in other legal entities and fixed assets,
  - e) restrictions for lending in the group,
  - f) open net foreign exchange position,
  - g) liquidity of the banking group, and

- h) structure of the banking group.
- (4) For the purpose of calculation of large exposures on consolidated basis, as well as consolidated restrictions for investments in other legal entities and fixed assets, the bank shall calculate its eligible capital on consolidated basis in compliance with Article 109, Paragraph 4 of the Banking Law of Republika Srpska.
- (5) The issues related to consolidated requirements that are not defined by this Decision, but are defined by the law or other bylaws, the provisions of that law or other by-law shall apply.

## **Definitions**

### **Article 2**

The terms being used in this Decision shall have the following meanings:

- 1) Bank - in compliance with Article 2, Paragraph 1, Item 1 of the Banking Law of Republika Srpska;
- 2) Person in the financial sector - in compliance with Article 2, Paragraph 1, Item 7 of the Banking Law of Republika Srpska;
- 3) Financial institution - in compliance with the Article 2, Paragraph 1, Item 26 of the Decision on Calculation of Capital in Banks;
- 4) Significant share - in compliance with Article 2, Paragraph 1, Item 11 of the Banking Law of Republika Srpska;
- 5) Qualifying holding - in compliance with Article 2, Paragraph 1, Item 12 of the Banking Law of Republika Srpska;
- 6) Parent company - in compliance with Article 2, Paragraph 1, Item 13 of the Banking Law of Republika Srpska;
- 7) Subsidiary - in compliance with Article 2, Paragraph 1, Item 14 of the Banking Law of Republika Srpska;
- 8) Affiliate company of a legal person - in compliance with Article 2, Paragraph 1, Item 15 of the Banking Law of Republika Srpska;
- 9) Subordinated company of a legal person - in compliance with Article 2, Paragraph 1, Item 16 of the Banking Law of Republika Srpska;
- 10) Banking group - in compliance with Article 2, Paragraph 1, Item 19 of the Banking Law of Republika Srpska;
- 11) Parent bank - in compliance with Article 2, Paragraph 1, Item 20 of the Banking Law of Republika Srpska;
- 12) Holding - in compliance with Article 2, Paragraph 1, Item 21 of the Banking Law of Republika Srpska;
- 13) Parent holding in the banking group - in compliance with Article 2, Paragraph 1, Item 22 of the Banking Law of Republika Srpska;
- 14) Consolidated entity – the entity in the financial sector including an ancillary banking services undertaking.
- 15) An ancillary banking services undertaking - a legal person that provides support services to business operations of a bank, such as, for example, asset management companies, services of data processing and storage, services of

information technology management, as well as other services that the bank had outsourced.

### **Competencies of supervisory board**

#### **Article 3**

- (1) The supervisory board of the parent bank or the bank which is under control of the holding or the parent company within the banking group shall be in charge to ensure, for the banking group, establishment and maintenance of a clear framework for management and governance that is adequate for the structure, business operations, scope, and complexity and risks of the banking group.
- (2) Management of the parent bank or the bank which is under control of the holding or the parent company within the banking group should cover outsourced activities and activities of the ancillary banking services undertaking.
- (3) In order to meet the minimum requirements referred to in Paragraph 1 of this Article, the supervisory board of the parent bank or the bank which is under control of the holding or the parent company within the banking group shall:
  - 1) establish harmonized policies, processes, and systems of governance and management on a consolidated basis, and assess them periodically, and at least annually;
  - 2) establish the structure of the banking group and the framework for the corporate governance with clearly defined rules and responsibilities;
  - 3) ensure adequate managerial and governance structure of the members of the banking group that shall be adequate for the risk to which the group, its business operations, and individual members are exposed;
  - 4) supervise business operations of the members of the banking group, activities of the managerial and governance bodies of the members of the group, as well as compliance with regulatory and legislated obligations;
  - 5) supervise the risk management that affect or that could affect members of the banking group, and especially those risks that are identified as material for the banking group;
  - 6) adopt adequate policies and procedures, i.e. ensure efficient functioning of the system of internal controls that would ensure adequate risk management in all the structures of business operations of the members of the banking group;
  - 7) ensure that the management and the key function holders have appropriate knowledge, ability, and expertise so that they would fully understand and monitor the organizational structure of the banking group, the risk strategy and the risk appetite of the banking group, and adequately manage risks;
  - 8) ensure that an adequate system has been established for exchanging information between the members of the group, in order to adequately manage the risks of the banking group and of each individual member, and ensure appropriate supervision over the banking group;

- 9) ensure exchange of information in case of extraordinary situations between members of banking groups, as well as reporting to the competent regulatory authorities;
- 10) establish an adequate system of informing the supervisory board on the circumstances and changes from the internal and external environment that have or that may have a negative effect on the financial conditions of the parent or subsidiary and lead to overrunning the framework of minimum requirements for the management of credit, operating, market, liquidity, and other material risks;
- 11) ensure a framework for corporate governance of the banking group with the objective of identification, prevention, and resolution of potential and possible conflicts of interest within the group, inadequate transactions between group members, spillovers of risks etc.;
- 12) ensure that the members of the banking group are submitting to the bank required data that are necessary for the implementation of the consolidation process, as well as to ensure the submitting of consolidated financial and other reports stipulated under the Banking Law of Republika Srpska and this Decision;
- 13) adopt a clear strategy and policy for new capital investments and expansion of the group, and, in the course of decision making on new capital investments to ensure clear and efficient criteria for decision making with the objective of avoiding disrupting the existing level of risk of the group and to retain the risk of the bank on an acceptable level;
- 14) in the process of establishment or restructuring of the organizational structure of the banking group, ensure compliance with regulatory requirements, requirements of financial reporting, requirements of internal policies, processes, and the system of internal controls etc.;
- 15) in case of production, commercial and/or financial transactions whose risk affects or may affect the banking group or its individual member, ensure adequate systems and processes of information with the objective of adequately managing the risk of the banking group;
- 16) ensure adequate procedures for risk management, procedures of internal audit and internal control that correspond to activities of the banking group, as well as their monitoring and updating.

### **Banking group structure**

#### **Article 4**

- (1) The bank that is a parent or subsidiary within a holding company or a parent company should establish a legal structure of the banking group that is transparent and clear for the purposes of conducting effective supervision on a consolidated basis.
- (2) With the objective of ensuring the provision of timely information necessary for efficient implementation of provisions of this Decision, the Decision on Calculation of Capital in Banks, the Decision on Risk Management and other, the parent bank or the bank which is under control of the holding or the parent company within the banking group shall establish a reliable reporting system.

- (3) The bank member of the banking group shall submit to the Agency on the quarterly basis, and even more frequently if necessary, data in the form of reviews and schematic presentations of the structure of the banking group and the group of companies within which the bank operates.
- (4) Data on the structure of the banking group and the group of companies within which the banks operates should contain, at the minimum, the list of members of both groups, clear schematic presentations of their mutual connections on the basis of direct and indirect ownership and indirect or direct managerial relations, as well as on the basis of business relations between members of the group when that connection represents a risk.
- (5) Data on the member of the banking group and the group of companies should contain, at the minimum, the name, the headquarters, the core activity, the names of members of the supervisory board and the management, the level of the balance sum, the amount of capital, the amount and the percentage of share of other members of the banking group and/or the group of companies in the capital and/or managerial rights, data on other types of interconnection of banking group members, data on persons appointed into the management bodies of the member of the banking group and other data if necessary.
- (6) Data referred to in the preceding Item shall be submitted in the forms that shall represent an integral part of this Decision (Attachment 1), through:
  - a) report on banking group structure,
  - b) report on structure of group of companies,
  - c) data on banking group member.

### **Risk management at the banking group level**

#### **Article 5**

The parent bank and the bank which is under control of the holding or the parent company within the banking group is obliged to:

- 1) ensure a comprehensive and efficient system of management of all risks to which the banking group is exposed or could be exposed in its business operations in compliance with the Banking Law of Republika Srpska and the Decision on Risk Management in Banks;
- 2) have adequate governance and managerial structures, as well as a system of adequate risk management, adjusted to the scope, the structure, the business, and the risk profile of the banking group. Each member of the banking group shall have in place strategies, policies and procedures for risk management harmonized with the policies and procedures of the parent company;

- 3) ensure systems and implement the processes of adequate risk management even in case of certain business activities not being organized in the same member, but within more members, regardless of whether those are members of the banking group or not;
- 4) ensure such processes, systems, and required resources that would provide for unimpeded and timely information, flow of information and interaction between group members, in order to be able to assess the risk profile and manage it at the level of the entire group;
- 5) establish that each member of the banking group is harmonized with the requirements of all provisions of this Decision, as well as that the members of the group to which this Decision does not apply are implementing systems and processes with the objective of ensuring adequate consolidation.

### **Reporting on consolidated basis**

#### **Article 6**

- (1) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall develop and submit to the Agency consolidated financial statements in compliance with subject decisions and the applicable accounting framework.
- (2) The ultimate parent company of the banking group shall submit to the Agency the following on the consolidated basis:
  - 1) consolidated audited annual financial statements of the banking group;
  - 2) opinion of the external auditor on the consolidated financial statements;
  - 3) consolidated regulatory financial reports, i.e. balance sheet and income statement, reports on capital and capital requirements of the banking group, remuneration policy, large exposures and liquidity on a quarterly basis or more frequently if requested by the Agency;
  - 4) reports on the size of share in legal entities from the financial sector, legal entities that are not from the financial sector and the total net value of the bank's share in other legal entities and subsidiaries of these legal entities;
  - 5) other reports and data upon a request of the Agency, so that the financial condition and the business result of the banking group could be assessed fully and objectively.

### **Methods for prudential consolidation**

#### **Article 7**

- (1) For the purpose of consolidated supervision, the parent bank and the bank which is under control of the holding or the parent company within the banking group shall submit to the Agency financial and other reports for the banking group on consolidated basis. Financial and other banking group reports must be prepared by using the relevant consolidation method.
- (2) The parent bank and the bank which is under control of the holding or the parent company shall the aforementioned financial and other reports of the banking group

draft using the method of full consolidation, excluding the financial statements of insurance companies, companies for intermediation in insurance, and companies for management of voluntary pension funds.

- (3) The bank may use the method of proportional consolidation for a subordinated company of the banking group, and on the basis of documentation which proves that the responsibility of the parent company is limited to the share of the capital that is in its ownership, taking into account the accountability and reliability of other shareholders of the subordinated company of the group whose solvency must be satisfactory, and for which it obtained the Agency's approval.
- (4) Financial statements of subordinated companies of the banking group in which the parent company or subsidiary has a significant share shall be included in consolidated financial statements of the banking group using the equity accounting method.
- (5) In addition to the cases listed, the Agency may, upon the bank's request, issue consent for implementation of consolidation of the banking group in the scope and in the manner in which it shall be implemented.
- (6) The Agency may order consolidation in other cases as well, in compliance with Article 167 of the Banking Law of Republika Srpska, including the concrete method and consolidation level taking into account:
  - 1) the opinion of the Agency that bank exercises significant influence over one or more consolidation entities, where it has no share in capital or other capital related interest,
  - 2) the opinion of the Agency that the bank and one or more entities of consolidation have a single management and governance structure.
- (7) Consolidated financial statements of the banking group shall not include the subordinate members whose balance sheet equals less than 1 percent of the balance sheet of the parent member of the group.
- (8) Notwithstanding, in case several subordinate members of the banking group meet the requirement from the preceding Paragraph, the Agency may order for such members of the banking group to be included in the consolidated financial statements if it assesses that the aggregation of their balance sheets is significant for determining the financial condition of the banking group.
- (9) The entities responsible for reporting on a consolidated basis, with a prior approval of the Agency, may exclude from consolidated financial statements the data on a subordinate member of the banking group:
  - 1) that is headquartered in a country that has legal impediments with regard to the exchange of data and information necessary for preparation of consolidated financial statements,
  - 2) whose inclusion in consolidated financial statements is not relevant for determining the financial condition of the banking group,

- 3) whose inclusion in consolidated financial statements would be misleading as to the financial condition of the banking group, and
  - 4) in other cases if specified in accounting and audit regulations.
- (10) The entities responsible for reporting on a consolidated basis shall submit to the Agency a request for the issuance of the consent referred to in the previous Paragraph with an explanation, no later than 30 days before the expiration of the period for which the reports are prepared.
- (11) In accordance with Article 14 of the Decision on calculation of capital in banks, for the purposes of calculating regulatory capital, the bank subject to reporting on a consolidated basis shall not deduct investments in regulatory capital instruments issued by financial sector entities included in consolidation, except in the case of that these deductions are required for resolution planning.

### **Application of accounting policies**

#### **Article 8**

- (1) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall ensure that the members of the banking group to which the method of full consolidation applies are applying accounting policies, i.e. the principles of valuation of positions applied by the parent bank and the bank which is under control of the holding or the parent company within the banking group, as well as that those members of the banking group are disclosing positions in individual financial statements uniformly.
- (2) Where the uniformed application of policies, i.e. principles referred to in Paragraph 1 of this Article are not applicable, the parent bank and the bank which is under control of the holding or the parent company within the banking group shall provide that the members of the banking group ensure that individual financial statements, as a minimum, comply with IAS / IFRS.

### **Requirements for regulatory capital on consolidated basis**

#### **Article 9**

- (1) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall operate in compliance with capital requirements for banking groups on consolidated basis that are stipulated under Article 37, Paragraph 4 of the Banking Law of Republika Srpska, as well as under Article 34 of the Decision on Calculation of Capital in Banks. The parent bank and the bank which is under control of the holding or the parent company within the banking group shall have to meet the following minimum requirements, at any moment in time:
  - 1) Common Equity Tier 1 Capital Ratio of 6.75%,
  - 2) Tier 1 ratio of 9%,
  - 3) Regulatory capital ratio of 12%.



- (2) Items deductible from regulatory capital of the banking group shall represent items deductible from regulatory capital in compliance with the Decision on Calculation of Capital in Banks.
- (3) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall calculate capital requirements for credit, operating, and market risk on consolidated basis in compliance with Article 34, Paragraphs 3 and 4 of the Decision on Calculation of Capital in Banks.
- (4) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall comply with the requirements for capital buffers on consolidated basis, including the capital buffers for capital preservation in compliance with Article 39 of the Decision on Calculation of Capital in Banks, and, if applicable, the combined capital buffer, in compliance with Article 40 of the Decision on Calculation of Capital in Banks. In case of violations of the requirements for capital buffers with the parent bank and the bank which is under control of the holding or the parent company within the banking group they shall be subject to restrictions on distributions and the preparation of capital preservation plan in compliance with Articles 41 and 42 of the Decision on Calculation of Capital in Banks.
- (5) The Agency may require higher capital requirements or higher than stipulated capital adequacy ratios for the banking group on consolidated basis, on the basis of the risk profile and/or systemic importance of the banking group, and if it assesses that the level of capital of the banking group poses a threat on stable business operations, and it may order other supervisory measures relating to risks at the group level.
- (6) The minimum leverage ratio on consolidated basis in compliance with Article 37, Paragraphs 2 and 9 of the Decision on Calculation of Capital in Banks shall apply to the parent bank and the bank which is under control of the holding or the parent company within the banking group.

### **Capital requirement for credit risk on consolidated basis**

#### **Article 10**

- (1) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall use the standardized approach in compliance with the Decision on Calculation of Capital in Banks for calculating the amount of credit risk weighted exposures for the banking group.
- (2) When calculating the amount of exposure to the risk of settlement / delivery and the counterparty risk, the parent bank and the bank which is under control of the holding or the parent company within the banking group shall use the standardized approach in compliance with the Decision on Calculation of Capital in Banks.

## **Capital requirement for market risks on consolidated basis**

### **Article 11**

- (1) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall calculate the capital requirement for market risks in compliance with the Decision on Calculation of Capital in Banks.
- (2) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall calculate the capital requirement for position risk using the standardized approach in compliance with the Decision on Calculation of Capital in Banks.
- (3) Provided that members of the banking group meet the conditions referred to in Article 35, Paragraph 1 of the Decision on Calculation of Capital in Banks, then the calculation of capital requirements for the trading book operations, in relation to the calculation of capital requirements for risk position, may be performed in compliance with capital requirements for credit risk from the subject Decision.
- (4) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall calculate the capital requirement for foreign exchange risk for the banking group using the standardized approach, in compliance with the Decision on Calculation of Capital in Banks.
- (5) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall calculate the capital requirement for commodity risk as the sum of individual capital requirements for that risk of all members of the banking group, calculated in compliance with the Decision on Calculation of Capital in Banks.

## **Capital requirement for operational risk on consolidated basis**

### **Article 12**

- (1) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall calculate the capital requirement on consolidated basis for operational risk by applying the basic indicator approach / simplified approach, the standardized approach, or a combination of aforementioned approaches in compliance with the Decision on Calculation of Capital in Banks.
- (2) When the parent bank and the bank which is under control of the holding or the parent company within the banking group applies the basic indicator approach / simplified approach in compliance with the Decision on Calculation of Capital in Banks, it shall calculate the indicator of exposure at the level of the banking group on the basis of data from the consolidated income statement.

- (3) When the parent bank and the bank which is under control of the holding or the parent company within the banking group applies the standardized approach, it shall meet the criteria in compliance with Articles 106 and 108 of the Decision on Calculation of Capital in Banks.
- (4) Where, at the level of the banking group, combined use of different approaches in compliance with Article 109 of the Decision on Calculation of Capital in Banks is applied, the parent bank and the bank which is under control of the holding or the parent company within the banking group shall ensure the fulfillment of conditions for application of combined approaches.

### **Internal Capital Adequacy Assessment Process of a banking group – ICAAP**

#### **Article 13**

- (1) The parent bank and the bank which is under control of the holding or the parent company within the banking group shall establish, on a consolidated basis, a continuous internal capital adequacy assessment process, and establish and implement adequate, effective and comprehensive capital planning strategies and procedures for continuous determination and provision of an adequate level of capital that, according to the bank's internal assessment, corresponds to the nature, scope and complexity of the banking group's activities.
- (2) When conducting the internal capital adequacy assessment process, the parent or subsidiary shall duly apply the provisions of the Decision on Internal Capital Adequacy Assessment Process in Banks.

### **Requirements for large exposures on consolidated basis**

#### **Article 14**

The banking group shall comply with the requirements on consolidated basis defined under Articles 106 to 111 of the Banking Law of Republika Srpska, as well as the Decision on Large Exposures.

### **Exposure to related persons and entities in a special relation with the bank on a consolidated basis**

#### **Article 15**

- (1) The banking group shall manage exposures to related persons in the context of Article 2, Paragraph 2, Item 23 of the Banking Law of Republika Srpska and the Decision on Large Exposures.

- (2) When it comes to exposures with persons in a special relation with the bank, the banking group is obliged to manage those exposures in terms of Article 2, Paragraph 1, Item 25 of the Banking Law of Republika Srpska, as well as the Decision on bank operations with persons in a special relation with the bank.
- (3) The supervisory board of the parent bank and the bank which is under control of the holding or the parent company within the banking group shall approve the transactions entered into by any of the members of the group with a person in special relation with the bank in compliance with Article 110 of the Banking Law of Republika Srpska unless the transaction represents an exception in compliance with Article 110 Paragraph 7 of the Banking Law of Republika Srpska.

### **Restrictions to bank share in other legal entities on consolidated basis**

#### **Article 16**

The banking group is obliged to meet the requirements regarding the restriction of the bank's share in other legal entities on a consolidated basis, which are stipulated by Article 111 of the Banking Law of Republika Srpska.

### **Restrictions to banking group investments in fixed assets**

#### **Article 17**

The banking group shall apply the restrictions stipulated by Article 112 of the Banking Law of Republika Srpska.

### **Restrictions on lending within a banking group**

#### **Article 18**

- (1) Each bank within a banking group shall comply with the requirements stipulated by Articles 106 to 112 of the Banking Law of Republika Srpska on an individual basis.
- (2) An individual bank, a member of a banking group, shall manage groups of related persons in compliance with Article 2, Paragraph 1, Items 23 and 24 of the Banking Law of Republika Srpska. In addition, other related legal entities that are part of the group, but not part of the banking group should also be included.

### **Net foreign exchange position on consolidated basis**

#### **Article 19**

The banking group shall apply the restrictions on net foreign exchange position stipulated by the Decision on Minimum Standards for Managing Foreign Currency Risk of Banks.

## **Banking group liquidity**

### **Article 20**

The parent bank and the bank which is under control of the holding or the parent company within the banking group shall comply with the minimum liquidity requirements on a consolidated basis in compliance with the regulation governing the monitoring, managing and measuring liquidity risk.

## **Interest rate risk in the banking book**

### **Article 21**

The parent bank and the bank which is under control of the holding or the parent company within the banking group shall meet the minimum requirements related to the interest rate risk in the banking book on a consolidated basis, in accordance with the Decision on the management of the interest rate risk in the banking book.

## **Transitional and final provisions**

### **Article 22**

- (1) This Decision shall come into force eight days after its issuance in the “Official Gazette of Republika Srpska”.
- (2) Bank shall align its business operations with the provisions of this Decision within nine months from the date of the Banking Law of Republika Srpska (“Official Gazette of Republika Srpska”, No.: 4/17) coming into force.

No: UO-313/17

Date: 19 September, 2017

**PRESIDENT  
OF THE MANAGEMENT BOARD**

Mira Bjelac

**Attachment 1: Reporting templates**

\_\_\_\_\_

Bank name and headquarters

\_\_\_\_\_

Bank identification number

**REPORT ON BANKING GROUP STRUCTURE**

Number (1)	Identification number (2)	Company name (3)	Headquarters (4)	Core activity (5)	Balance sum (6)	Amount of total capital (7)	Amount of investments in fixed assets (8)	Number of employees (9)

Number (1)	Sum (2)	Total eligible capital (3)	% (4)
1.	$\sum \text{Col}8$		$2/3*100$

## **SCHEMATIC PRESENTATION OF THE BANKING GROUP**

--

In \_\_\_\_\_, - , - 20\_\_

\_\_\_\_\_  
(name and phone number)

---

Name and headquarters of ultimate parent company of legal entity

---

Identification number of ultimate parent company of legal entity

**REPORT ON STRUCTURE OF GROUP OF COMPANIES**

Number number	Identification number	Company name	Headquarters	Core activity	Balance sum	Amount of total capital	Number of employees



## SCHEMATIC PRESENTATION OF GROUP OF COMPANIES

In \_\_\_\_\_, \_\_, \_\_ 20\_\_

\_\_\_\_\_

(name and phone number)

\_\_\_\_\_  
Name and headquarters of the bank

\_\_\_\_\_  
Identification number of the bank

Number of the member: \_\_\_\_\_

Condition as of: \_\_\_\_\_, \_\_\_\_\_ 20\_\_

### DATA ON THE BANKING GROUP MEMBER

Number number	Description	Content
1.	Name of the member	
2.	Identification number of the member	
3.	Headquarters and address of the member	
4.	Contact data	Telephone, fax number, e-mail
5.	Legal form	Joint Stock Company
		Limited Liability Company
		Limited Partnership
		Company with Unlimited Solid Liability
6.	Type of legal person	Bank
		Microcredit Organization
		Leasing Company
		Factoring Company
		Investment Fund
		Brokerage and Dealership Company
		Insurance Company
		Other

7.	Connection with the ultimate parent or subsidiary	Qualifying holding
		Significant share
		Other
8.	Percentage share of the banking group in the capital of the member	
9.	Percentage share of the banking group in the voting rights of the member	
10.	Exposure of the banking group to the member	Amount of loans and receivables on other bases, as well as of potential receivables of the banking group from the member
11.	List of shareholders with more than 5% of ownership share in the banking group	
12.	Composition of the supervisory board of the member of the banking group	
13.	Composition of the management of the member of the banking group	

In \_\_\_\_\_, \_\_, \_\_ 20\_\_

\_\_\_\_\_  
(name)

\_\_\_\_\_  
(phone number)